



6-19-00
2825

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|-----------------------------|-------------------------------|-----------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/428,125 | |
| | Filing Date | October 26, 1999 | |
| | First Named Inventor | Vishnu K. Agarwal | |
| | Group Art Unit | 2825 | |
| | Examiner Name | Unknown | |
| Total Number of Pages in This Submission | 15 | Attorney Docket Number | MI22-1299 |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Return Receipt Postcard Form PTO-1449 w/cited references |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | In the event that a fee is due, please charge Deposit Account No. 23-0925. | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | David G. Latwesen, Ph.D., Reg. No. 38,533 Wells, St. John, Roberts, Gregory & Matkin P.S. |
| Signature | |
| Date | 6/8/00 |

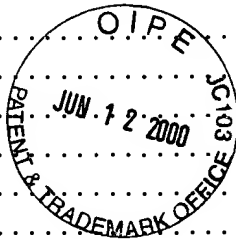
| CERTIFICATE OF MAILING | | | |
|---|--------------------|-------------|--------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 8, 2000 | | | |
| Typed or printed name | Patricia L. Palmer | | |
| Signature | | Date | 6/8/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
JUN 14 2000
TC 2800 MAIL ROOM

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No. 09/428,125
3 Filing Date October 26, 1999
4 Inventor Vishnu K. Agarwal et al.
5 Assignee Micron Technology, Inc.
6 Group Art Unit 2825
7 Examiner Unknown
8 Attorney's Docket No. MI22-1299
9 Title: Capacitors and Methods of Forming Capacitors



7 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

8 References -- See Attached Form PTO-1449

9 The attached form PTO-1449 is submitted in compliance with
10 37 C.F.R. §1.56. No admission is made regarding whether all the submitted
11 references are prior art.

12 This Supplemental Information Disclosure Statement is being filed
13 within three months of the filing of the application or before the mailing of
14 a first Office Action on the merits, whichever occurs last. Therefore, no fee
15 is believed to be required. However, in the event that a fee is required for
16 filing this Supplemental Information Disclosure Statement, please charge the
17 fee specified under 37 C.F.R. Section 1.17(p) to Deposit Account No. 23-0925.
18 Please credit Deposit Account No. 23-0925 with any overpayment of the above
19 fee.

20 Respectfully submitted,

21 Dated: 6/8/00

22 Attorney: David G. Latwesen, Ph.D.

David G. Latwesen, Ph.D.
Reg. No. 38,533

RECEIVED
JUN 14 2000
TC 2800 MAIL ROOM